PTO/SB/21 (02-04)

| JUL 1 6 2004 2 | U.S. Par | Approved for use through 07/31/2006. OMB 0651-0031 tent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a valid OMB control number. |
|---|---|---|
| Onder that appeared in Neddellon Actor 1999 | Application Number | 10/786,749 |
| TRANSMITTAL | Filing Date | 2/25/2004 |
| FORM | First Named Inventor | Christopher E. Bales |
| (to be used for all correspondence after initial | filing) Art Unit | 2173 |
| , | Examiner Name | 2170 |
| Total Number of Pages in This Submission | 7 Attorney Docket Number | BEAS-01372US0 |
| | ENCLOSURES (Check all th | aat apply) |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks Attached: Copy of Notice of Mi | Other Enclosure(s) (please Identify below): |
| SIGNA | TURE OF APPLICANT, ATTOR | NEY, OR AGENT |
| Firm Daniel J. Burns | | |
| Individual name Fliesler Meyer LL | <u>.P</u> | Customer Number: 23910 |
| Signature | | Reg. No.: 50,222 |
| Date 7/13/2004 | - | |
| C | ERTIFICATE OF TRANSMISSIC | DN/MAILING |
| | | or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on |
| Typed or printed name | Porte | |
| Signature | <u> </u> | Date 7-13-4 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: BEAS-1372US0 SRM/DJB

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032

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| FE | EE | TR | AN | SM | IT | ΓAL |
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| | f | for | FY | 20 | 04 | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

S PATIENT

| Co | mplete if Known |
|----------------------|----------------------|
| Application Number | 10/786,749 |
| Filing Date | 2/25/2004 |
| First Named Inventor | Christopher E. Bales |
| Examiner Name | |
| Art Unit | 2173 |
| Attorney Docket No. | BEAS-01373US0 |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|---|--|----------|--|--|--|--|
| Check Credit card Money Other None | None 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large Entity Small Entity | | | | | |
| Denosit | Fee Fee Fee Fee Description | Fee Paid | | | | |
| Account Number | 1051 130 2051 65 Surcharge - late filing fee or oath | 130.00 | | | | |
| Deposit Account Fliesler Meyer LLP | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet | | | | | |
| Name The Director is authorized to: (check all that apply) | 1053 130 1053 130 Non-English specification | | | | | |
| Charge fee(s) indicated below Credit any overpayments | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination | | | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | | | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action | | | | | |
| to the above-identified deposit account. | 1251 110 2251 55 Extension for reply within first month | | | | | |
| FEE CALCULATION | 1252 420 2252 210 Extension for reply within second month | | | | | |
| 1. BASIC FILING FEE Large Entity Small Entity | 1253 950 2253 475 Extension for reply within third month | | | | | |
| Fee Fee Fee Fee Description Fee Paid | 1254 1,480 2254 740 Extension for reply within fourth month | | | | | |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | | | | | |
| 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | | | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | | | | |
| SUBTOTAL (1) (\$) 0.00 | 1452 110 2452 55 Petition to revive - unavoidable | | | | | |
| | 1453 1,330 2453 665 Petition to revive - unintentional | | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 1,550 2501 665 Office (See 166 (Office 1550) | | | | | |
| Extra Claims below Fee Paid Total Claims 64 -20** = X = 0.00 | 1502 480 2502 240 Design issue fee | | | | | |
| Independent | 1503 640 2503 320 Plant issue fee | | | | | |
| - 3** = X | 1460 130 1460 130 Petitions to the Commissioner | | | | | |
| | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 180 1806 180 Submission of Information Disclosure Stmt | | | | | |
| Code (\$) Code (\$) | 8021 40 8021 40 Recording each patent assignment per property (times number of properties) | ļ | | | | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 770 2809 385 Filling a submission after final rejection | | | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | (37 CFR 1.129(a)) | | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) | | | | | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 770 2801 385 Request for Continued Examination (RCE) | | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 900 1802 900 Request for expedited examination of a design application | | | | | |
| SUBTOTAL (2) (\$) 0.00 | Other fee (specify) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.6 | 00 | | | | |
| | | | | | | |

SUBMITTED BY

Name (Print/Type)

Daniel J. Burns

Registration No. (Attorney/Agent)

Signature

(Complete (if applicable))

Telephone 415.362.3800

Date 7/13/2004

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.mpto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/786,749

FLIESLER MEYER, LLP

FOUR EMBARCADERO CENTER

SAN FRANCISCO, CA 94111

23910

SUITE 400

02/25/2004

Christopher E. Bales

BEAS-01372US0 SRM/DJB

dib

CONFIRMATION NO. 2989

FORMALITIES LETTER

OC000000012688756

Date Mailed: 05/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

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07/19/2004 WASFRUI

A copy of this notice <u>MUST</u> be returned with the reply.

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